





Address to:

Box Patent Application Commissioner for Patents Washington, D.C. 20231

Attorney's Docket No. SONY-U0380

First Named Inventor HARUO OBA

## UTILITY PATENT APPLICATION TRANSMITTAL

(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled: PORTABLE AUDIO LISTENING APPARATUS

## **CERTIFICATION UNDER 37 CFR § 1.10**

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date <u>November 2, 2000</u>, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number <u>EL254113690US</u> addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

| Lar | na Brenner  |
|-----|---|
| (Na | ame of person mailing paper) (Signature)  |
| End | closed are:   |
| 1.  | X Transmittal Form (two copies required)  |
| 2.  | The papers required for filing date under CFR § 1.53(b): i. 20 Pages of specification (including claims and abstract); ii. 7 Sheets of drawings formal X informal |
| 3.  | Declaration or oath   |
|     | a. X Unsigned - Combined with Power of Attorney   |
| AC  | COMPANYING APPLICATION PARTS  |
| 4.  | An assignment of the invention to Sony Corporation is attached (including Form PTO-1595).   |
|     | i 37 CFR 3.73(b) Statement (when there is an assignee)  |
| 5.  | x Power of Attorney - Unsigned - Combined with Declaration  |
| 6.  | An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of references.   |
| 7.  | Preliminary Amendment.  |
| 8.  | X Return Receipt Postcard (MPEP 503 should be specifically itemized)  |
| 9.  | FOREIGN PRIORITY [x] Priority of application no. P11-320629 filed on November 11, 1999 in Japan is claimed under 35 USC 119.                                      |
|     | The certified copy of the priority application:  _x is filed herewith; or _ has been filed in prior application no filed on _, or _ will be provided.             |
|     | English Translation Document (if applicable)  |

## 10. FEE CALCULATION

a. \_\_ Amendment changing number of claims or deleting multiple dependencies is enclosed.

#### **CLAIMS AS FILED**

|                       | Number Filed       | Number Extra | Rate      | Basic Fee<br>(\$710) |
|-----------------------|--------------------|--------------|-----------|----------------------|
| Total Claims          | 15 - 20            | * 0          | x \$18.00 | 0                    |
| Independent<br>Claims | 4 - 3              | * 1          | x \$80.00 | 80.00                |
| x Multiple de         | ependent claim(s), | if any       | \$270.00  | 270.00               |

\*If less than zero, enter "0".

| Filing Fee Calculation |  |  |  |  |  |  |  |  |  | \$ | 1,060.00 | ) |
|------------------------|--|--|--|--|--|--|--|--|--|----|----------|---|
|------------------------|--|--|--|--|--|--|--|--|--|----|----------|---|

50% Filing Fee Reduction (if applicable) . . . . . . . . . \$

|   | 50% Filing Fee Reduction (if applicable)                               |
|---|--|
| <ul> <li>11. Small Entity Status</li> <li>a A small entity statement is enclored.</li> <li>b A small entity statement was filed still proper and desired.</li> <li>c is no longer claimed.</li> </ul> | osed.<br>ed in the prior nonprovisional application and such status is |
| — Other food  | \$0<br>\$0   |
| 13. Payment of Fees  x Check(s) in the amount of \$ 1,060.0  Charge Account No. 12-1420 in the  | Total Fees Enclosed \$1,060.00  OO enclosed. amount of \$              |

14. All correspondence regarding this application should be forwarded to the undersigned attorney:

Charles P. Sammut Limbach & Limbach L.L.P. 2001 Ferry Building San Francisco, CA 94111 Telephone: 415/433-4150 Facsimile: 415/433-8716

PATENT TRADEMARK OFFICE

01362

15. Authorization to Charge Additional Fees

A duplicate of this transmittal is attached.

The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. A duplicate of this transmittal is attached.

LIMBACH & LIMBACH L.L.P.

November 2, 2000 (Date)

Attorney Docket No. SONY-U0380 [S00P1380US00]

By:

- 2 -

Charles P. Sammut Registration No. 28,901

Attorney(s) or Agent(s) for Applicant(s)

- 1 -

#### PORTABLE AUDIO LISTENING APPARATUS

## BACKGROUND OF THE INVENTION

## 1. Field of the Invention

The present invention relates to portable audio listening apparatuses, and more specifically, to a portable audio listening apparatus in which a headphone can be connected to a player at any place without wire.

## 2. Description of the Related Art

Conventionally, portable players, typical of which is a Walkman (a trade name of Sony Corporation), have been widely used to listen to music by connecting them to headphones. Portable players can be put in bags or mounted on human bodies by belts. When headphones are used, the cables thereof may restrict the movement of the user. Recently, musical information can be sent by radio from players to headphones with the use of infrared light. For outdoor use, however, it is not necessarily successful to use infrared-light communication in natural light. It can also be considered that musical information is distributed by radio other than infrared light. The current technologies, however, cannot assure high-sound-quality transfer. Therefore, a technology which allows high-sound-quality transfer by radio even outdoors has been demanded.

Conventionally, there has been known that communication

between two independent apparatuses each having an electrode and emitting a weak radio wave which is insufficient in strength for communicating with each other is made possible by enhancing the radio wave through a human body (Japanese Unexamined Patent Application Publication No. Hei-7-170215 and US Patent No. 5,914,701). Such a method sufficiently transfers musical information having a quality of super audio CD (SACD).

## SUMMARY OF THE INVENTION

The present invention has been made in consideration of the foregoing condition. Accordingly, an object of the present invention is to provide an audio listening apparatus which does not cause radio interference and which allows an audio signal to be positively transferred from a player to a headphone even in natural light without wire.

The foregoing object is achieved in one aspect of the present invention through the provision of a portable audio listening apparatus including means for generating an audio modulated signal modulated in a band in which a signal is transferred by using a human body; a first electrode for outputting the generated audio modulated signal; a second electrode for receiving an audio modulated signal transferred through the first electrode and a human body; means for demodulating the audio modulated signal received

by the second electrode; and means for generating audible sound according to the demodulated signal.

In this structure, the modulated signal output from the first electrode is transferred to the second electrode through a human body and the transferred signal is demodulated to provide music without wire. Since the modulated signal is transferred through a human body, the radio wave itself can be weak. Therefore, the radio wave does not cause radio interference in the vicinity. Even in natural light, music is transferred without noise.

The foregoing object is achieved in another aspect of the present invention through the provision of a portable audio listening apparatus including a portable transmission apparatus and a portable receiving apparatus electrically and mechanically structured as different units, the portable transmission apparatus including means for generating an audio modulated signal modulated in a band in which a signal is transferred by using a human body; and a first electrode for outputting the generated audio modulated signal, and the portable receiving apparatus including a second electrode for receiving an audio modulated signal transferred through the first electrode and a human body; means for demodulating the audio modulated signal received by the second electrode; and means for generating audible sound according to the demodulated signal.

Also in this structure, the modulated signal output from the first electrode is transferred to the second electrode through a human body and the transferred signal is demodulated to provide music without wire. Since the modulated signal is transferred through a human body, the radio wave itself can be weak. Therefore, the radio wave does not cause radio interference in the vicinity. Even in natural light, music is transferred without noise.

The foregoing object is achieved in still another aspect of the present invention through the provision of a portable transmission apparatus including means for generating an audio modulated signal modulated in a band in which a signal is transferred by using a human body; and an electrode for outputting the generated audio modulated signal.

The foregoing object is achieved in yet another aspect of the present invention through the provision of a portable receiving apparatus including an electrode for receiving an audio modulated signal transferred through a human body; means for demodulating the audio modulated signal received by the electrode; and means for generating audible sound according to the demodulated signal.

The electrode of the portable transmission apparatus may be provided for the body of the apparatus.

Alternatively, the electrode may be connected to a

predetermined wire which is disposed near the body of the user. The former is suited to a case in which the body of the apparatus is mounted at the waist of the user by a belt, and the latter is suited to a case in which the apparatus is put in a bag.

As described above, according the present invention, a wireless, portable audio listening system which does not receive noise even in natural light, which does not cause radio-wave disturbance to other systems, and which does not cause radio interference is provided.

## BRIEF DESCRIPTION OF THE DRAWINGS

- Fig. 1 is a view showing a whole system according to a first embodiment of the present invention.
- Fig. 2 is a block diagram showing the circuit structure of a portable audio playback apparatus of the first embodiment.
- Fig. 3 is a block diagram showing the structure of a signal processing circuit of a headphone in the first embodiment.
- Fig. 4 is a view showing individual authentication in the first embodiment.
- Fig. 5 is a view showing a system according to a second embodiment of the present invention.
  - Fig. 6 is a block diagram showing the structure of a

signal processing circuit of a headphone in the second embodiment.

Fig. 7 is a view showing a system according to a third embodiment of the present invention.

Fig. 8 is a block diagram showing the circuit structure of a portable audio recording and playback apparatus in the third embodiment.

Fig. 9 is a view showing individual authentication in the third embodiment.

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

Embodiments of the present invention will be described below.

[First embodiment]

A first embodiment of the present invention will be described first. In this embodiment, a headphone and a portable audio playback apparatus are used to send a reproduced audio signal to the headphone with the body of the user being used as a transmission path.

Fig. 1 shows a headphone 10 and a portable audio playback apparatus 20 in the first embodiment. In the figure, the headphone 10 is formed of a headphone body 11, an electric-circuit unit 12, and ear pads 13. The headphone 10 has the same structure as usual headphones. The ear pads 13 provided for the headphone body 11 are made from an

electrically conductive material. The ear pads 13 serve as electrodes and are connected to the electric-circuit unit 12 by wiring (not shown). By this wiring, a signal transferred through the body of the user and the ear pads 13 is sent to the electric-circuit unit 12. The electric-circuit unit 12 accommodates a signal processing circuit 14 (Fig. 3) and a battery (not shown).

The portable audio playback apparatus 20 is almost the same as a usual portable audio playback apparatus, such as a Walkman (trade name) of Sony Corporation, and has an electrode 21 at its side.

When the user 100 wears the headphone 10 and the portable audio playback apparatus 20, a transmission path is formed as indicated by a one-dot chain line, and an reproduced output is transferred from the portable audio playback apparatus 20 to the headphone 10. Details of the mechanism of signal transfer through a human body are disclosed in Japanese Unexamined Patent Application Publication No. Hei-7-170215.

Fig. 2 shows the circuit structure of the portable audio playback apparatus 20 shown in Fig. 1. In Fig. 2, an audio-signal playback section 30 reproduces audio signals (for R channel and for L channel) from a cassette tape, a Mini disk, a compact disk, or a semiconductor memory. These media which store audio signals may be detachable, or

secured. Various recording methods can be employed.

An R-channel reproduced signal is sent to a mixer 34 through a level adjustment circuit 31, a modulator 32, and a band-pass filter 33 for the R channel. The modulator 32 performs modulation, for example, at a modulation frequency of 2.3 MHz. An L-channel reproduced signal is sent to the mixer 34 through a level adjustment circuit 35, a modulator 36, and a band-pass filter 37 for the L channel. The output of the mixer 34 is amplified by an output amplifier 38, and then sent to an output terminal 39. The output terminal 39 is connected directly or indirectly to the electrode 21 of the portable audio playback apparatus 20 shown in Fig. 1.

Fig. 3 shows the signal processing circuit 14 provided for the electric-circuit unit 12 shown in Fig. 1. In this figure, an input terminal 50 is directly or indirectly connected to the electrically conductive ear pads 13 shown in Fig. 1. A signal output from the portable audio playback apparatus 20 is sent to the ear pads 13 through the body of the user, and then is sent from the ear pads 13 to the signal processing circuit 14 of the electric-circuit unit 12 through predetermined signal lines (not shown). The modulated signal input to the input terminal 50 is amplified by a preamplifier 51, and then is sent to an R-channel demodulator 52 and to an L-channel demodulator 53 and demodulated. The demodulated audio signals are amplified by

output amplifiers 54 and 55, and then sent to speaker elements 56 and 57. The speaker elements 56 and 57 are disposed at the ear pads 13, and connected to the electric-circuit unit 12 through predetermined wiring.

In the present embodiment, an audio signal reproduced from the portable audio playback apparatus 20 is modulated, transferred through a human body, which serves as a transmission path, demodulated by the signal processing circuit 14 in the headphone 10, and output from the speaker elements 56 and 57. With this structure, an audio signal is positively listened to without noise even in natural light while the body of the user does not serve as an obstacle.

The electrode 21 of the portable audio playback apparatus 20 assures a sufficient transfer characteristic with the body of the user even if it does not directly contact the skin of the user. Of course, the transfer characteristic may be improved by using an appropriate material of clothes. Alternatively, some measure may be taken so that the electrode 21 contacts the skin.

It may be also allowed that the portable audio playback apparatus 20 is connected to a wire and the wire itself or an electrode connected to the open end of the wire contacts the body of the user directly or through clothes. In this case, the portable audio playback apparatus 20 may be slightly apart from the body of the user, such as in a case

in which the apparatus 20 is put in a bag.

In the above embodiment, the ear pads 13 of the headphone 10 serve as electrodes. The electric-circuit unit 12 may be connected to a wire which is directly or indirectly connected to the body of the user. The ear pads may be provided with electrodes.

As shown in Fig. 4, it is also possible that the electric-circuit unit 12 of the headphones 10 is provided with an authentication-data storage section 58 for storing individual authentication data, and by using it, individual authentication is executed by an authentication section 40 in the portable audio playback apparatus 20. An individualauthentication method may be a method using a simple code number or a method of authenticating a one-time password by a challenge and response. Only when a successful authentication is obtained, the authentication section 40 activates a playback control section 41 to output an audio It is further possible that the portable audio playback apparatus 20 stores individual authentication data and the headphone 10 is provided with an authentication In this case, the headphone 10 is provided with a section. mute circuit such that listening is allowed only when a successful authentication is obtained.

Instead of the headphone 10, a small earphone may be used. In this case, if the circuit section cannot be placed

in the earphone, the circuit section may be formed separately from the ear phone and connected thereto by wire.

[Second embodiment]

A second embodiment of the present invention will be described below. In this embodiment, independent headphones 10R and 10L, one for the right ear and the other for the left ear, are provided. A portable audio playback apparatus 20 has the same structure as that of the first embodiment.

Fig. 5 shows the headphones 10R and 10L of the present embodiment. Each headphone is formed of a headphone body 11, an electric-circuit unit 12, and an ear pad 13. The ear pad 13 is provided with an ear support 15. The headphone 10R is for the R channel, and the headphone 10L is for the L channel. A built-in demodulator 62 (shown in Fig. 6) determines the channel (either the R channel or the L channel). The headphones may be structured such that they can be used for both R and L channels and predetermined change-over switches determine the channels.

Fig. 6 shows the structure of a signal processing circuit 60 of the electric-circuit unit 12 according to the present embodiment. The signal processing circuit 60 is formed of an input terminal 50, a preamplifier 61, a modulator 62, an amplifier 63, and a speaker element 64. This circuit operates in the same way as shown in Fig. 3 except that the circuit performs signal processing for

either of the two channels.

Also in this structure, the user always receives a signal output from the portable audio playback apparatus 20 by radio to listen to sound in the same way as described in the first embodiment.

In the present embodiment, two headphones are separately prepared for the right ear and for the left ear. Only one of the two headphones may be used. In this case, a change-over switch may be provided such that one of an R-channel signal, an L-channel signal, and a mixed signal for the R and L channels can be received. To reproduce the mixed signal, a mixer is required. If the original signal is not a stereo signal, the circuit shown in Fig. 6 can be used as is.

#### [Third embodiment]

A third embodiment of the present invention will be described next. In the present embodiment, musical data can be externally downloaded through the body of the user. A recording function is added to a portable audio playback apparatus 20 in the present embodiment. Hereinafter, the apparatus is called a portable audio recording and playback apparatus 20 for convenience.

Fig. 7 shows the present embodiment as a whole. In Fig. 7, the user wears a headphone 10 and the portable audio recording and playback apparatus 20. The user touches an

electrode 201 provided for an external audio-signal transmission apparatus 200 by a hand so that an audio signal sent from the audio-signal transmission apparatus 200 is recorded by the portable audio recording and playback apparatus 20.

According to the description of Japanese Unexamined Patent Application Publication No. Hei-7-170215, modulation frequencies of 2.3 MHz and 2.8 MHz can be used for audio signals, and video modulation frequencies of 11.5 to 13.5 MHz can also be used. In the present embodiment, this frequency band is used for audio-signal recording. For example, an audio signal to be recorded is modulated by using a modulation frequency of 11.5 MHz and the signal is transferred to the portable audio recording and playback apparatus 20 through the electrode and the body of the user.

Fig. 8 shows a signal processing circuit provided for the portable audio recording and playback apparatus shown in Fig. 7. The same symbols as those used in Fig. 2 are assigned to the portions corresponding to those shown in Fig. 2. In Fig. 8, an electrode 39 (corresponding to the electrode 21 shown in Fig. 1) is used for receiving a signal sent from the audio-signal transmission apparatus 200. A signal input through the electrode 39 is sent to a demodulator 72 through a band-pass filter 71 and demodulated. The band-pass filter 71 passes only signals having

frequencies in the vicinity of 11 MHz. The audio-signal transmission apparatus 200 transmits, for example, converted digital signals (may transmit analog signals although they have noise). The input signal is waveform-shaped by a comparator 73 and sent to an audio-signal processing circuit 74. The audio-signal processing circuit 74 restores the audio data (original data) or the original analog audio signal according to the received signal. The musical data is sent to an audio-signal playback section 30 and recorded. The Moving Picture Coding Experts Group layer 3 (MPEG layer 3, or MP3) method can be used as a recording method.

In the present embodiment, since data is transferred through a frequency band exceeding 10 MHz, a transmission rate of about 1 Mbps can be easily achieved. Music of three minutes is transferred within several seconds if the music is recorded by the MP3 method.

Fig. 8 shows a case in which a signal to be downloaded, including a header signal, is transferred in one direction. An instruction to the audio-signal transmission apparatus 200 is given by a method other than the human-body transfer method, such as pressing a music selection button. When a modulator circuit for a video-signal band is added to the circuit shown in Fig. 8, bi-directional transfer (half duplex) can be easily implemented.

As shown in Fig. 9, it is possible that an individual-

authentication-data storage section 58 is provided for the headphone 10 or the portable audio recording and playback apparatus 20, and an authentication section 202 provided for the audio-signal transmission apparatus 200 executes individual authentication. Only when a successful authentication is obtained, a download control section 203 is activated to execute downloading.

The present invention is not limited to the embodiments described above, and various modifications thereof are possible. For example, in the above embodiments, the 2.3-MHz frequency band and the 2.8-MHz frequency band are used for the R channel transfer and the L channel transfer, respectively. Since a frequency band of 2 to 30 MHz can be used for the human-body transfer method, when the band is divided, audio signals can be transferred through a vast number of channels. In this case, the 5+1 channel method of Dolby Digital (trade name) is implemented by a wireless headphone. Conventionally, it is very difficult for wireless radio transfer to implement such a method.

#### WHAT IS CLAIMED IS:

- A portable audio listening apparatus comprising:
   means for generating an audio modulated signal
   modulated in a band in which a signal is transferred by
   using a human body;
- a first electrode for outputting the generated audio modulated signal;
- a second electrode for receiving an audio modulated signal transferred through the first electrode and a human body;

means for demodulating the audio modulated signal received by the second electrode; and

means for generating audible sound according to the demodulated signal.

- 2. A portable audio listening apparatus comprising:
- a portable transmission apparatus and a portable receiving apparatus electrically and mechanically structured as different units,

the portable transmission apparatus comprising:

means for generating an audio modulated signal modulated in a band in which a signal is transferred by using a human body; and

a first electrode for outputting the generated

audio modulated signal, and

the portable receiving apparatus comprising:

a second electrode for receiving an audio modulated signal transferred through the first electrode and a human body;

means for demodulating the audio modulated signal received by the second electrode; and

means for generating audible sound according to the demodulated signal.

- 3. A portable audio listening apparatus according to Claim 2, wherein the transmission apparatus further comprises means for storing individual authentication data.
- 4. A portable audio listening apparatus according to one of Claims 2 and 3, wherein the receiving apparatus further comprises means for storing individual authentication data.
- 5. A portable audio listening apparatus according to one of Claims 1 to 3, wherein the transmission apparatus further comprises playback means for playing back an audio signal to be modulated and control means for controlling the playback means.

- 6. A portable audio listening apparatus according to Claim 5, wherein the playback means accommodates a detachable recording medium and reproduces an audio signal from the recording medium.
- 7. A portable audio listening apparatus according to one of Claims 2, 3, and 6, wherein the transmission apparatus comprises recording means for recording an audio signal in a predetermined form, receives by an electrode an audio modulated signal transferred from a predetermined another transmission apparatus by using a human body, and demodulates the received audio modulated signal and records it in a predetermined recording form by using the recording means.
- 8. A portable audio listening apparatus according to Claim 7, wherein the frequency band of an audio modulated signal transmitted by the transmission apparatus is made different from that of an audio modulated signal transmitted by the predetermined another transmission apparatus.
- 9. A portable transmission apparatus comprising: means for generating an audio modulated signal modulated in a band in which a signal is transferred by using a human body; and

an electrode for outputting the generated audio modulated signal.

10. A portable receiving apparatus comprising: an electrode for receiving an audio modulated signal transferred through a human body;

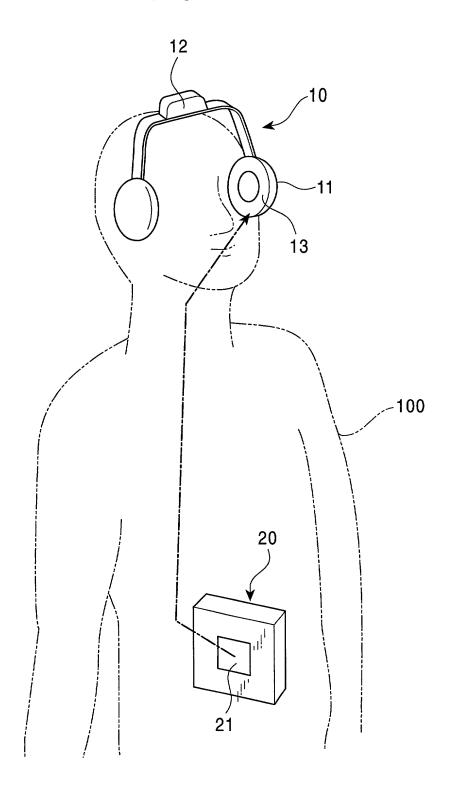
means for demodulating the audio modulated signal received by the electrode; and

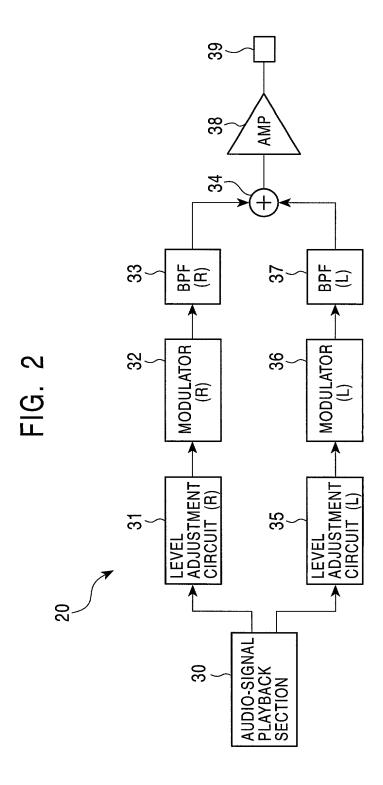
means for generating audible sound according to the demodulated signal.

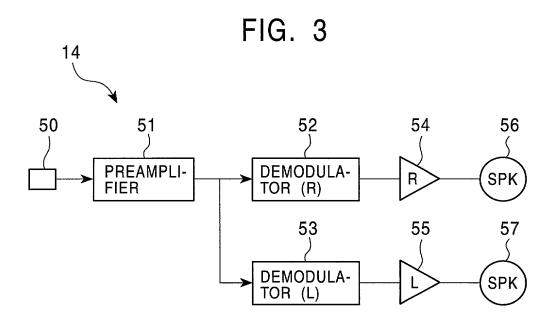
## ABSTRACT OF THE DISCLOSURE

A portable audio listening apparatus includes, for example, a portable audio playback apparatus serving as a portable transmission apparatus and a headphone serving as a portable receiving apparatus. The portable audio playback apparatus has an electrode at its side. The headphone includes an ear pad made from an electrically conductive material and an electric-circuit unit. The ear pad serves as an electrode and is connected to the electric-circuit unit by wiring. The electric-circuit unit includes a signal processing circuit and a battery. When the user wears the portable audio playback apparatus and the headphone, a transmission path is formed through the body of the user. signal reproduced by the portable audio playback apparatus is sent through the body of the user and the ear pad to the electric-circuit unit of the headphone.

FIG. 1







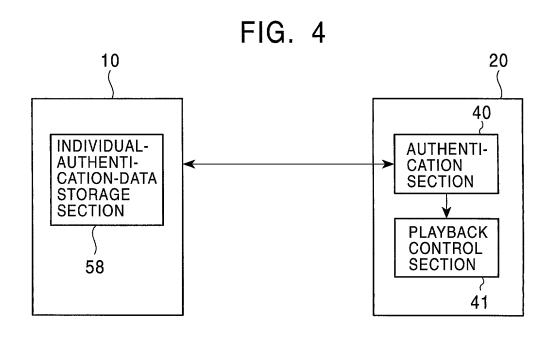


FIG. 5

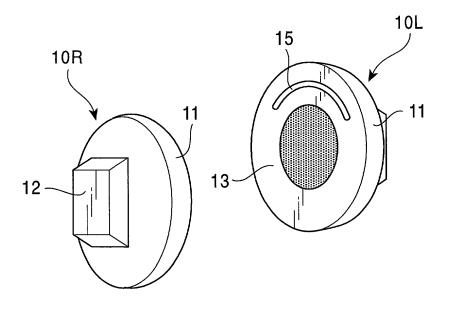


FIG. 6

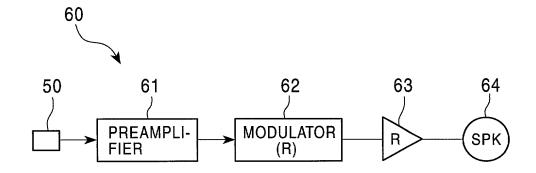
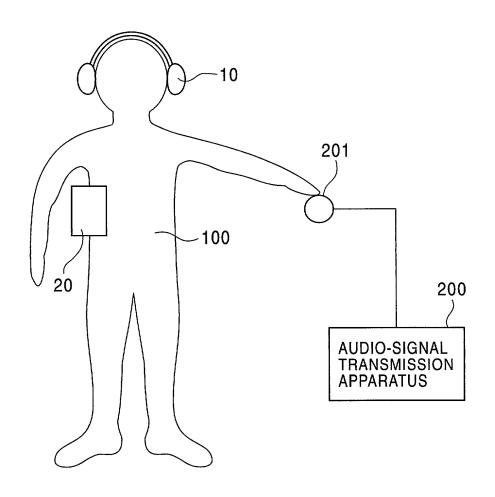


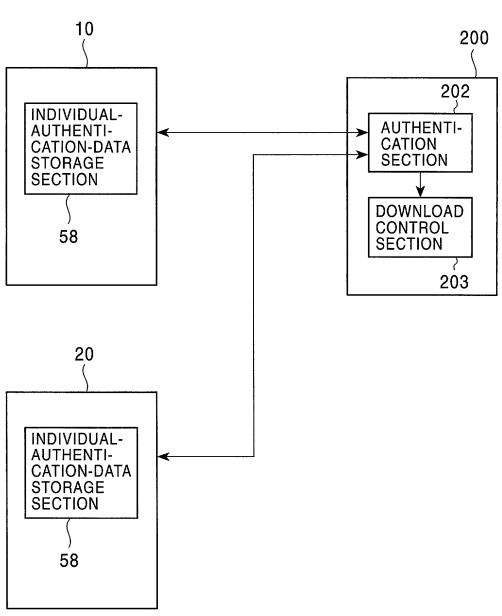
FIG. 7



33

AMP BPF 34 DEMODULA-TOR (R) 33 ВР. (Я) BPF 37 FIG. 8 MODULATOR (L) MODULATOR (R) 32 36 Ref LEVEL ADJUSTMENT-CIRCUIT (L) LEVEL ADJUSTMENT-CIRCUIT (R) AUDIO-SIGNAL PROCESSING CIRCUIT 35 31 AUDIO-SIGNAL PLAYBACK SECTION

FIG. 9



**Declaration and Power of Attorney For Patent Application** 特許出願宣言書及び委任状 Japanese Language Declaration 日本語宣言書 下記の氏名の発明者として、私は以下の通り宜言します。 As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated 私の住所、私書箱、国籍は下記の私の氏名の後に記載され next to my name. た通りです。 I believe I am the original, first and sole inventor (if only one 下記の名称の発明に関して請求範囲に記載され、特許出願 named is listed below) or an original, first and joint inventor (if している発明内容について、私が最初かつ唯一の発明者(下 plural names are listed below) of the subject matter which is 記の氏名が一つの場合)もしくは最初かつ共同発明者である claimed and for which a patent is sought on the invention と (下記の名称が複数の場合) 信じています。 entitled. PORTABLE AUDIO LISTENING APPARATUS the specification of which is attached hereto unless the following 上記発明の明細書(下記の攜でx印がついていない場合は、 box is checked: 本書に添付)は、 was filed on as United States Application Number \_月\_\_日に提出され、米国出願番号または特許協定条約 or PCT International Application Number and was 国際出願番号を\_\_\_\_とし、 (該当する場合) \_\_\_\_\_ に記 amended on \_\_\_\_\_ (if applicable). \_\_\_\_ に訂正されました。 I hereby state that I have reviewed and understand the contents 私は、特許請求範囲を含む上記訂正後の明細書を検討し、 of the above identified specification, including the claims, as 内容を理解していることをここに表明します。 amended by any amendment referred to above. I acknowledge the duty to disclose information which is material 私は、連邦規則法典第37編第1条56項に定義されると to patentability as defined in Title 37, Code of Federal おり、特許資格の有無について重要な情報を開示する義務が Regulations, Section 1.56. あることを認めます。 I hereby claim foreign priority under Title 35, United States 私は、米国法典第35編119条(a)-(d)項又は365条 Code, Section 119(a)-(d) or 365(b) of any foreign application(s) (b) 項に基さ下記の、 米 国以外の国の少なくとも一ヵ国を指 for patent or inventor's certificate, or 365(a) of any PCT 定している特許協力条約 365(a)項に基ずく国際出版、又 International application which designated at least one country は外国での特許出頭もしくは発明者証の出頭についての外国 other than the United States, listed below and have also identified below, by checking the box, any foreign application for 優先権をここに主張するとともに、優先権を主張している、 patent or inventor's certificate, or PCT International application 本出顧の前に出願された特許または発明者証の外国出顧を以 having a filing date before that of the application on which 下に、枠内をマークすることで、示しています。 priority is claimed. Prior Foreign Application(s) Priority Not Claimed 優先権主張なし 外国での先行出版 11 November 1999 P11-320629 Japan (Day/Month/Year Filed) (Number) (Country) (出願年月日) (番号) (国名) (Country) (Day/Month/Year Filed) (Number) (出願年月日) (番号) (国名) I hereby claim the benefit under Title 35. United States Code. 私は、第35編米国法典119条(e)項に基いて下記の米 Section 119(e) of any United States provisional application(s) 国特許出願規定に記載された権利をここに主張いたします。 listed below. (Filing Date) (Application No.) (Filing Date) (Application No.) (出顧日) (出顧日) (出願番号) (出願番号)

# Japanese Language Declaration 日本語宣言書

私は、下記の米国法典第35編120条に基いて下記の米国特許出頭に記載された権利、又は米国を指定している特許協力条約365条(c)に基ずく権利をここに主張します。また、本出頭の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出頭に開示されていない限り、その先行米国出願書提出日以降で本出讀書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Application No.)

(Filing Date)

(出願番号)

(出題日)

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、故棄済)

(Application No.)
(出願番号)

(Filing Date)

(出題日)

(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)

私は、私自身の知識に基ずいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じるところに基ずく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基ずき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may be jeopardize the validity of the application or any patent issued thereon.

委任状: 私は下記の発明者として、本出願に関する一切の 手続きを米特許高標局に対して遂行する弁理士または代理人 として、下記の者を指名いたします。(弁護士、または代理 人の氏名及び登録番号を明記のこと) POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark office connected therewith (*list name and registration number*)

|  |  |  |  | †  |  |
|--|--|--|--|--|--|
| Karl A. Limbach<br>George C. Limbach<br>John K. Uilkema<br>Neil A. Smith<br>Veronica C. Devitt<br>Ronald L. Yin<br>Gerald T. Sekimura<br>Michael A. Stallman<br>Philip A. Girard<br>Michael J. Pollock | 18,689<br>19,305<br>20,282<br>25,441<br>29,375<br>27,607<br>30,103<br>29,444<br>28,848<br>29,098 | Steven M. Everett Alfred A. Equitz Charles P. Sammut Mark C. Pickering Patricia Coleman James Kathleen A. Frost Alan A. Limbach Douglas C. Limbach Seong-Kun Oh* Kyla L. Harriel * Recognition under 37 CFR 10 | 30,050<br>30,922<br>28,901<br>36,239<br>37,155<br>37,326<br>39,749<br>35,249<br>41,815 | Mayumi Maeda Charles L. Hamilton Andrew V Smith Eric N. Hoover Frank J. Mycroft Robert M. McConnell J. Thomas McCarthy Joel G. Ackerman Roger S. Sampson Susan M. Schmitt Edward B. Weller | 40,075<br>42,624<br>43,132<br>37,355<br>46,946<br>46,912<br>22,420<br>24,307<br>44,314<br>34,427<br>37,468 |

書類送付先

Send Correspondence to:

Charles P. Sammut, Esq. Limbach & Limbach L.L.P. 2001 Ferry Building San Francisco, CA 94111-4262

|           | Declaration and Power of Attorney For Patent Application<br>特許出願宣言書及び委任状<br>Japanese Language Declaration<br>日本語宣言書 |   |  |  |  |  |  |  |
|-----------|---|---|--|--|--|--|--|--|
|           |   |   |  |  |  |  |  |  |
| 直接電話連絡    | 先: (名前及び電話番号)   | Direct Telephone Calls to: (name and telephone number)  Charles P. Sammut (415) 433-4150                  |  |  |  |  |  |  |
| 唯一または第    | 一発明者名   | Full name of sole or first inventor: HARUO OBA  |  |  |  |  |  |  |
| 発明者の署名    | 日付  | Inventor's signature Date   |  |  |  |  |  |  |
| 住所        |   | Residence<br>Kanagawa, Japan  |  |  |  |  |  |  |
| <b>国籍</b> |   | Citizenship Japan   |  |  |  |  |  |  |
| 私書箱       |   | Post Office Address c/o SONY CORPORATION 7-35, Kitashinagawa 6-chome Shinagawa-ku, Tokyo, 141-0001 JAPAN  |  |  |  |  |  |  |
| 第二共同発明    | 者名  | Full name of second joint inventor, if any KEIICHI TOTSUKA  |  |  |  |  |  |  |
| 第二共同発明    | 者の署名 日付   | Second Inventor's signature Date  |  |  |  |  |  |  |
| 住所        |   | Residence<br>Tokyo, Japan   |  |  |  |  |  |  |
| 国籍        |   | Citizenship Japan   |  |  |  |  |  |  |
| 私書箱       |   | Post Office Address  c/o SONY CORPORATION 7-35, Kitashinagawa 6-chome Shinagawa-ku, Tokyo, 141-0001 JAPAN |  |  |  |  |  |  |

|            |    | torney For Patent Application<br>f書及び委任状  |      |
|------------|----|---|------|
| Jap        |    | uage Declaration<br>語宣言書  |      |
| 第三共同発明者の署名 |    | Full name of third joint inventor, if any SHIGERU TAJIMA  |      |
| 第五共同発明者の署名 | 日付 | Third inventor's signature  | Date |
| 住所         |    | Residence<br>Kanagawa, Japan  |      |
| 国籍         |    | Citizenship<br>Japan  |      |
| 私書箱        |    | Post Office Address  c/o SONY CORPORATION 7-35, Kitashinagawa 6-chome Shinagawa-ku, Tokyo, 141-0001 JAPAN |      |
| 第四共同発明者名   |    | Full name of fourth joint inventor, if any CHISATO NUMAOKA  |      |
| 第四共同発明者の署名 | 日付 | Fourth inventor's signature   | Date |
| 住所         |    | Residence<br>Kanagawa, Japan  |      |
| 国 <b>籍</b> |    | Citizenship Japan   |      |
| 私書箱        |    | Post Office Address  c/o SONY CORPORATION 7-35, Kitashinagawa 6-chome Shinagawa-ku, Tokyo, 141-0001 JAPAN |      |